



General Assembly

January Session, 2001

***Raised Bill No. 6621***

LCO No. 3456

Referred to Committee on Government Administration and Elections

Introduced by:  
(GAE)

***AN ACT CONCERNING THE REGULATION OF ADVERTISING BOOK PURCHASES UNDER THE CAMPAIGN FINANCE STATUTES.***

Be it enacted by the Senate and House of Representatives in General Assembly convened:

1 Section 1. Subsection (b) of section 9-333b of the general statutes is  
2 repealed and the following is substituted in lieu thereof:

3 (b) As used in this chapter, "contribution" does not mean:

4 (1) A loan of money made in the ordinary course of business by a  
5 national or state bank;

6 (2) Any communication made by a corporation, organization or  
7 association to its members, owners, stockholders, executive or  
8 administrative personnel, or their families;

9 (3) Nonpartisan voter registration and get-out-the-vote campaigns  
10 by any corporation, organization or association aimed at its members,  
11 owners, stockholders, executive or administrative personnel, or their  
12 families;

13       (4) Uncompensated services provided by individuals volunteering  
14       their time;

15       (5) The use of real or personal property, and the cost of invitations,  
16       food or beverages, voluntarily provided by an individual to a  
17       candidate or on behalf of a state central or town committee, in  
18       rendering voluntary personal services for candidate or party-related  
19       activities at the individual's residence, to the extent that the cumulative  
20       value of the invitations, food or beverages provided by the individual  
21       on behalf of any single candidate does not exceed two hundred dollars  
22       with respect to any single election, and on behalf of all state central  
23       and town committees does not exceed four hundred dollars in any  
24       calendar year;

25       (6) The sale of food or beverage for use in a candidate's campaign or  
26       for use by a state central or town committee at a discount, if the charge  
27       is not less than the cost to the vendor, to the extent that the cumulative  
28       value of the discount given to or on behalf of any single candidate does  
29       not exceed two hundred dollars with respect to any single election,  
30       and on behalf of all state central and town committees does not exceed  
31       four hundred dollars in a calendar year;

32       (7) Any unreimbursed payment for travel expenses made by an  
33       individual who on [his] the individual's own behalf volunteers [his]  
34       the individual's personal services to any single candidate to the extent  
35       the cumulative value does not exceed two hundred dollars with  
36       respect to any single election, and on behalf of all state central or town  
37       committees does not exceed four hundred dollars in a calendar year;

38       (8) The payment, by a party committee, political committee or an  
39       individual, of the costs of preparation, display, mailing or other  
40       distribution incurred by the committee or individual with respect to  
41       any printed slate card, sample ballot or other printed list containing  
42       the names of three or more candidates;

43       (9) The donation of any item of personal property by an individual

44 to a committee for a fund-raising affair, including a tag sale or auction,  
45 or the purchase by an individual of any such item at such an affair, to  
46 the extent that the cumulative value donated or purchased does not  
47 exceed fifty dollars;

48 [(10) The purchase of advertising space which clearly identifies the  
49 purchaser, in a program for a fund-raising affair, provided the  
50 cumulative purchase of such space does not exceed two hundred fifty  
51 dollars from any single candidate or his committee with respect to any  
52 single election campaign or two hundred fifty dollars from any single  
53 party committee or other political committee in any calendar year if  
54 the purchaser is a business entity or fifty dollars for purchases by any  
55 other person;]

56 [(11)] (10) The payment of money by a candidate to [his] the  
57 candidate's candidate committee;

58 [(12)] (11) The donation of goods or services by a business entity to a  
59 committee for a fund-raising affair, including a tag sale or auction, to  
60 the extent that the cumulative value donated does not exceed one  
61 hundred dollars;

62 [(13)] (12) The advance of a security deposit by an individual to a  
63 telephone company, as defined in section 16-1, for telecommunications  
64 service for a committee, provided the security deposit is refunded to  
65 the individual; or

66 [(14)] (13) The provision of facilities, equipment, technical and  
67 managerial support, and broadcast time by a community antenna  
68 television company, as defined in section 16-1, for community access  
69 programming pursuant to section 16-331a, unless (A) the major  
70 purpose of providing such facilities, equipment, support and time is to  
71 influence the nomination or election of a candidate or (B) such  
72 facilities, equipment, support and time are provided on behalf of a  
73 political party.

74       Sec. 2. Subsection (c) of section 9-333j of the general statutes is  
75       repealed and the following is substituted in lieu thereof:

76       (c) (1) Each statement filed under subsection (a), (e) or (f) of this  
77       section shall include, but not be limited to: (A) An itemized accounting  
78       of each contribution, if any, including the full name and complete  
79       address of each contributor and the amount of the contribution; (B) in  
80       the case of anonymous contributions, the total amount received and  
81       the denomination of the bills; (C) an itemized accounting of each  
82       expenditure, if any, including the full name and complete address of  
83       each payee, the amount and the purpose of the expenditure, the  
84       candidate supported or opposed by the expenditure, whether the  
85       expenditure is made independently of the candidate supported or is an  
86       in-kind contribution to the candidate, and a statement of the balance  
87       on hand or deficit, as the case may be; (D) an itemized accounting of  
88       each expense incurred but not paid; (E) the name and address of any  
89       person who is the guarantor of a loan to, or the cosigner of a note with,  
90       the candidate on whose behalf the committee was formed, or the  
91       campaign treasurer in the case of a party committee or a political  
92       committee or who has advanced a security deposit to a telephone  
93       company, as defined in section 16-1, for telecommunications service  
94       for a committee; (F) [for each business entity or person purchasing  
95       advertising space in a program for a fund-raising affair, the name and  
96       address of the business entity and the name of the chief executive  
97       officer of the business entity or the name and address of the person,  
98       and the amount and aggregate amounts of such purchases; (G)] for  
99       each individual who contributes in excess of one hundred dollars but  
100       not more than one thousand dollars, in the aggregate, to the extent  
101       known, the principal occupation of such individual and the name of  
102       the individual's employer, if any; [(H)] (G) for each individual who  
103       contributes in excess of one thousand dollars in the aggregate, the  
104       principal occupation of such individual, the name of the individual's  
105       employer, if any, and a statement indicating whether the individual or  
106       a business with which he is associated has a contract with the state  
107       which is valued at more than five thousand dollars; and [(I)] (H) for

108 each itemized contribution made by a lobbyist, the spouse of a lobbyist  
 109 or any dependent child of a lobbyist who resides in the lobbyist's  
 110 household, a statement to that effect. Each campaign treasurer shall  
 111 include in such statement an itemized accounting of the receipts and  
 112 expenditures relative to any testimonial affair held under the  
 113 provisions of section 9-333k or any other fund-raising affair.

114 (2) Each contributor described in subparagraph [(G), (H) or (I)] (F),  
 115 (G) or (H) of subdivision (1) of this subsection shall, at the time [he] the  
 116 contributor makes such a contribution, provide the information which  
 117 the campaign treasurer is required to include under said subparagraph  
 118 in the statement filed under subsection (a), (e) or (f) of this section.  
 119 Notwithstanding any provision of subdivision (2) of section 9-7b, any  
 120 contributor described in subparagraph [(G)] (F) of subdivision (1) of  
 121 this subsection who does not provide such information at the time [he]  
 122 the contributor makes such a contribution and any treasurer shall not  
 123 be subject to the provisions of subdivision (2) of section 9-7b. If a  
 124 campaign treasurer receives a contribution from an individual which  
 125 separately, or in the aggregate, is in excess of one thousand dollars and  
 126 the contributor has not provided the information required by said  
 127 subparagraph [(H)] (G), the campaign treasurer: (i) Within three  
 128 business days after receiving the contribution, shall send a request for  
 129 such information to the contributor by certified mail, return receipt  
 130 requested; (ii) shall not deposit the contribution until he obtains such  
 131 information from the contributor, notwithstanding the provisions of  
 132 section 9-333h; and (iii) shall return the contribution to the contributor  
 133 if the contributor does not provide the required information within  
 134 fourteen days after the treasurer's written request or the end of the  
 135 reporting period in which the contribution was received, whichever is  
 136 later. Any failure of a contributor to provide the information which the  
 137 campaign treasurer is required to include under said subparagraph  
 138 [(G) or (I)] (F) or (H), which results in noncompliance by the campaign  
 139 treasurer with the provisions of said subparagraph [(G) or (I)] (F) or  
 140 (H), shall be a complete defense to any action against the campaign  
 141 treasurer for failure to disclose such information.

142 (3) Contributions from a single individual to a campaign treasurer  
143 in the aggregate totaling thirty dollars or less need not be individually  
144 identified in the statement, but a sum representing the total amount of  
145 all such contributions made by all such individuals during the period  
146 to be covered by such statement shall be a separate entry, identified  
147 only by the words "total contributions from small contributors".

148 (4) Statements filed in accordance with this section shall remain  
149 public records of the state for five years from the date such statements  
150 are filed.

151 Sec. 3. Subsection (g) of section 9-333l of the general statutes is  
152 repealed and the following is substituted in lieu thereof:

153 (g) As used in this subsection, "immediate family" means any  
154 spouse or dependent child who resides in a lobbyist's household. Each  
155 lobbyist who is an individual and, in conjunction with members of  
156 [his] the lobbyist's immediate family, makes contributions to or  
157 purchases from committees exceeding one thousand dollars in the  
158 aggregate during the twelve-month period beginning July 1, 1993, or  
159 July first in any year thereafter, shall file a statement, sworn under  
160 penalty of false statement, with the Secretary of the State in accordance  
161 with the provisions of section 9-333e, on the second Thursday in July  
162 following the end of such twelve-month period. The statement shall  
163 include: (1) The name of each committee to which the lobbyist or a  
164 member of [his] the lobbyist's immediate family has made a  
165 contribution and the amount and date of each such contribution; and  
166 (2) the name of each committee from which the lobbyist or member of  
167 [his] the lobbyist's immediate family has purchased any item of  
168 property [or advertising space in a program] in connection with a  
169 fund-raising event which is not considered a contribution under  
170 subsection (b) of section 9-333b and the amount, date and description  
171 of each such purchase. Each lobbyist who is an individual and who, in  
172 conjunction with members of [his] the lobbyist's immediate family,  
173 does not make contributions to or purchases from committees

174 exceeding one thousand dollars in the aggregate during any such  
175 twelve-month period shall file a statement, sworn under penalty of  
176 false statement, with the Secretary of the State in accordance with the  
177 provisions of section 9-333e, on the second Thursday in July, so  
178 indicating.

179 Sec. 4. This act shall take effect July 1, 2001.

***Statement of Purpose:***

To repeal the exemption for purchases of advertising space in fund-raising affair programs from the definition of "contribution" under the campaign finance statutes, thereby applying campaign finance regulations to such purchases.

*[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]*